



#6ed
4-30-03

PATENT
Attorney Docket No. IMP-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Liem et al.
SERIAL NO.: 10/057,728 GROUP NO.: 2171
FILING DATE: January 25, 2002 EXAMINER: Not Yet Assigned
TITLE: COMPILER FOR MULTIPLE PROCESSOR AND DISTRIBUTED
MEMORY ARCHITECTURES

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 30 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that:


- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: October 21, 2002
Reg. No. 36,748

Tel. No.: (617) 248-7870
Fax No.: (617) 248-7100

2500192-1

Respectfully submitted,


John V. Bianco

Attorney for Applicants
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

RECEIVED
OCT 30 2002
Technology Center 2100

**RECEIVED**

OCT 30 2002

SHEET 1 OF 1

FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

ATTORNEY DOCKET NO.: IMP-004

APPLICANTS: Liem et al.

SERIAL NO.: 10/057,728

FILING DATE: January 25, 2002 GROUP: 2171

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	6,110,220	8/29/00	Dave et al.	716	3	

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B1	WO 00/60460	10/12/00	PCT				In English	No
	B2	WO 99/28840	6/10/99	PCT				No	Yes

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C1	Levia, "Programming System Architectures with Java," <i>IEEE Computer Society</i> , Vol. 32, No. 8, August 1999, pp. 96-98, 101.							
	C2	Patent Cooperation Treaty, International Search Report, International Application No. PCT/US 02/02085, mailed on September 3, 2002, 7 pages.							

EXAMINER

DATE CONSIDERED

2171



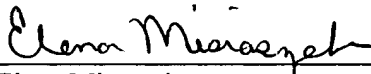
PATENT
Attorney Docket No. IMP-004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Liem et al.
SERIAL NO.: 10/057,728 GROUP NO.: 2171
FILING DATE: January 25, 2002 EXAMINER: Not Yet Assigned
TITLE: COMPILER FOR MULTIPLE PROCESSOR AND DISTRIBUTED
MEMORY ARCHITECTURES

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on October 22, 2002.


Elena Misaszek

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Certificate of First Class Mailing Under 37 CFR 1.8 (1 page);
3. Information Disclosure Statement (2 pages);
4. Form PTO-1449 (1 page) with copies of cited references A1, B1-B2 and C1-C2; and
5. a return receipt postcard.

2500199-1

RECEIVED
OCT 30 2002
Technology Center 2100



TRANSMITTAL FORM

Application Serial Number	10,057,728
Filing Date	January 25, 2002
First Named Inventor	Liem et al.
Group Art Unit	2171
Examiner Name	Not Yet Assigned
Attorney Docket No.	IMP-004
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

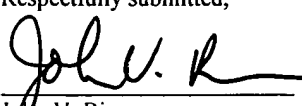
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

RECEIVED
OCT 30 2002
Technology Center 2100

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

John V. Bianco
Attorney for Applicants
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110

Date: October 21, 2002
Reg. No. 36,748
Tel. No.: (617) 248-7870
Fax No.: (617) 248-7100